### Edgar Filing: FORMFACTOR INC - Form 4

| FORMFACT  | OR INC                                    |                    |                                |  |  |                  |             |  |  |                      |
|---|---|--------------------|--------------------------------|--|--|------------------|-------------|--|--|----------------------|
| Form 4  |   |                    |                                |  |  |                  |             |  |  |                      |
| June 02, 201  | 6   |                    |                                |  |  |                  |             |  |  |                      |
| FORM  | 14  | о статес           | SECUE                          |  | ND EV                                  |                  | NCEC        | COMMISSION   |  | PPROVAL              |
|   |   | DSIAIES            |                                | shington,                              |  |                  | NGE U       | .01v11v1155101N  | OMB<br>Number:   | 3235-0287            |
| Check the   |   |                    | vv ac                          | , inington,                            | D.C. 20                                | 547              |             |  |  | January 31,          |
| if no long<br>subject to<br>Section 1<br>Form 4 o       | 6. <b>SIAIE</b>                           | CMENT O            | F CHAN                         | GES IN SECUR                           |  | ICIA             | LOW         | NERSHIP OF   | Expires:<br>Estimated a<br>burden hou                                |                      |
| Form 5<br>obligation<br>may cont<br>See Instru<br>1(b). | Filed p <sup>15</sup><br>inue. Section 17 | 7(a) of the        | Public Ut                      |  | ling Con                               | npany            | y Act of    | e Act of 1934,<br>1935 or Section<br>0                                       | response   | 0.5                  |
| (Print or Type F  | Responses)                                |                    |                                |  |  |                  |             |  |  |                      |
| 1. Name and A<br>SLESSOR M                              | ddress of Reportin                        | ng Person <u>*</u> | Symbol                         | Name and                               |  |                  | -           | 5. Relationship of<br>Issuer   |  |                      |
| (Last)  | (First)                                   | (Middle)           | 3. Date of                     | Earliest Tr                            | ansaction                              |                  |             | (Chec.   | k all applicable   | 2)                   |
| 7005 SOUT   | HFRONT ROA                                | AD                 | (Month/D<br>05/31/20           | -                                      |  |                  |             | X Director<br>X Officer (give<br>below)                                      |  | Owner<br>er (specify |
|   | (Street)                                  |                    |                                | ndment, Da<br>hth/Day/Year             | -                                      | 1                |             | 6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by C               |  |                      |
| LIVERMO   | RE, CA 94551                              |                    |                                |  |  |                  |             | Form filed by M<br>Person  | lore than One Re   | porting              |
| (City)  | (State)                                   | (Zip)              | Tabl                           | e I - Non-D                            | erivative                              | Secur            | ities Acq   | uired, Disposed of   | , or Beneficial  | ly Owned             |
| 1.Title of<br>Security<br>(Instr. 3)                    | 2. Transaction Da<br>(Month/Day/Yea       | r) Executio<br>any | ned<br>n Date, if<br>Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or Di<br>(Instr. 3, | spose<br>4 and   | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                      |
|   |   |                    |                                | Code V                                 | Amount                                 | (A)<br>or<br>(D) | Price       | Transaction(s)<br>(Instr. 3 and 4)   |  |                      |
| Common<br>Stock   | 05/31/2016                                |                    |                                | М                                      | 6,666                                  | А                | \$0         | 276,978  | D  |                      |
| Common<br>Stock   | 05/31/2016                                |                    |                                | S                                      | 3,401<br>(1)                           | D                | \$<br>7.328 | 273,577  | D  |                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | a 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   | 5. Number<br>ionof Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exer-<br>Expiration D<br>(Month/Day/ | Date               | 7. Title and A<br>Underlying S<br>(Instr. 3 and 4 | Securities                             | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|---|---|--------|--|--|--------------------|---|--|---------------------------------|
|   |   |   |   | Code V | (A) (D)  | Date<br>Exercisable                          | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Restricted<br>Stock<br>Units                        | \$ 0  | 05/31/2016                              |   | М      | 6,666  | (2)  | (3)                | Common<br>Stock                                   | 6,666                                  | \$                              |

## **Reporting Owners**

| Reporting Owner Name / Address                              |          | Relationsh |         |            |  |
|---|----------|------------|---------|------------|--|
|   | Director | 10% Owner  | Officer | Other      |  |
| SLESSOR MIKE<br>7005 SOUTHFRONT ROAD<br>LIVERMORE, CA 94551 | Х        |            | CEO     |            |  |
| Signatures  |          |            |         |            |  |
| By: /s/Jason Cohen, Attorney-in-Fact For: Mike Slessor      |          |            |         | 06/02/2016 |  |
| <u>**</u> Signature of Reporting                            | Person   |            |         | Date       |  |

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents vested shares of common stock sold to satisfy certain tax withholding obligations associated with the conversion of the restricted stock units.
- The Restricted Stock Units vest and are exercisable in three annual installments on each May 28 of 2016, 2017, and 2018 (or the first
- (2) market trading day during an open trading window under the Issuer's insider trading policy thereafter if the applicable vesting date is not on a market trading day during an open trading window).
- (3) The restricted stock units released were settled in shares of common stock and were immediately cancelled upon settlement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.