Themelis Nicholas Form 4 March 12, 2018

# FORM 4

#### **OMB APPROVAL**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION								
Washington, D.C. 20549								

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 4 or Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Themelis Nicholas

(First)

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

MARKETAXESS HOLDINGS INC [MKTX]

(Check all applicable)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title Other (specify

C/O MARKETAXESS HOLDINGS

INC., 299 PARK AVENUE

03/09/2018

Chief Information Officer

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

NEW YORK, NY 10171

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Seci	ırities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		sed of 4 and (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.003 per share	03/09/2018		S	2,200	D	\$ 216.9509 (1)	57,927	D	
Common Stock, par value \$0.003 per share	03/09/2018		S	899	D	\$ 218.1638 (2)	57,028	D	
	03/09/2018		S	990	D		56,038	D	

#### Edgar Filing: Themelis Nicholas - Form 4

Common 218.9007 Stock, par (3) value \$0.003 per share Common Stock, par \$ 03/09/2018 S 219.4248 54,727 D value 1,311 D \$0.003 per (4) share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 7. Title and 8. Price of 1. Title of 2. 4. 5. 6. Date Exercisable and Execution Date, if Derivative Conversion (Month/Day/Year) TransactionNumber **Expiration Date** Amount of Derivative Security or Exercise Code (Month/Day/Year) Underlying Security of any (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Securities (Instr. 5) Derivative Derivative Securities (Instr. 3 and 4) Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Amount or Expiration Date Title Number Exercisable Date of Code V (A) (D) Shares

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

is Nigholas

Themelis Nicholas C/O MARKETAXESS HOLDINGS INC. 299 PARK AVENUE NEW YORK, NY 10171

Chief Information Officer

**Relationships** 

## **Signatures**

/s/ Ori Solomon, as Attorney-in-Fact for Nicholas
Themelis 03/12/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions were executed in multiple trades at prices ranging from \$216.45 to \$217.45. The price above reflects the weighted (1) average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.
- The transactions were executed in multiple trades at prices ranging from \$217.54 to \$218.52. The price above reflects the weighted (2) average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.
- The transactions were executed in multiple trades at prices ranging from \$218.56 to \$219.045. The price above reflects the weighted (3) average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.
- The transactions were executed in multiple trades at prices ranging from \$219.085 to \$219.82. The price above reflects the weighted (4) average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.