

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

AllianzGI Equity & Convertible Income Fund  
Form NSAR-A  
September 28, 2016

PAGE 1  
000 A000000 07/31/2016  
000 C000000 0001383441  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 ALLIANZGI EQUITY & CONVERTIBLE INCOME FUND  
001 B000000 811-21989  
001 C000000 2127393371  
002 A000000 1633 BROADWAY  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10019  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-57798  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10019  
008 A000002 ALLIANZ GLOBAL INVESTORS U.S. LLC  
008 B000002 S  
008 C000002 801-69803  
008 D010002 NEW YORK  
008 D020002 NY  
008 D030002 10019  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-57798  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10019  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC  
012 B000001 84-00416  
PAGE 2  
012 C010001 BROOKLYN  
012 C020001 NY  
012 C030001 11219  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

013 B030001 10017  
014 A000001 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000001 8-41811  
014 A000002 PIMCO INVESTMENTS LLC  
014 B000002 8-68686  
014 A000003 PIMCO DEUTSCHLAND GMBH  
014 B000003 8-00000  
014 A000004 QUESTAR CAPITAL CORPORATION  
014 B000004 8-50174  
014 A000005 ALLIANZ LIFE FINANCIAL SERVICES, LLC  
014 B000005 8-13630  
014 A000006 PIMCO (SCHWEIZ) GMBH  
014 B000006 8-00000  
014 A000007 ALLIANZ GLOBAL INVESTORS (SCHWEIZ) AG  
014 B000007 8-00000  
014 A000008 ROGGE GLOBAL PARTNERS LIMITED  
014 B000008 8-0125482  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02110  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 65  
019 C000000 ALLIANZGLO  
020 A000001 MORGAN STANLEY & CO. LLC  
020 B000001 13-2655998  
020 C000001 31  
020 A000002 O'NEIL SECURITIES, INCORPORATED  
020 B000002 95-2269163  
020 C000002 20  
020 A000003 DEUTSCHE BANK SECURITIES INC.  
020 B000003 13-2730828  
020 C000003 17  
020 A000004 RBC CAPITAL MARKETS, LLC  
020 B000004 41-1228350  
020 C000004 15  
020 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
020 B000005 13-5674085  
020 C000005 9  
020 A000006 WILLIAM BLAIR & COMPANY L.L.C.  
020 B000006 36-2214610  
020 C000006 6  
PAGE 3  
020 A000007 CITIGROUP GLOBAL MARKETS INC  
020 B000007 11-2418191  
020 C000007 4  
020 A000008 KEYBANC CAPITAL MARKETS INC.  
020 B000008 34-1391952  
020 C000008 3  
020 A000009 BMO CAPITAL MARKETS CORP.  
020 B000009 13-3459853  
020 C000009 3  
020 A000010 D.A. DAVIDSON & CO.  
020 B000010 81-0139474  
020 C000010 2  
021 000000 123  
022 A000001 ANZ SECURITIES, INC.  
022 B000001 13-3763501  
022 C000001 474851

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

022 D000001 0  
 022 A000002 BTMU SECURITIES, INC.  
 022 B000002 04-2715139  
 022 C000002 211571  
 022 D000002 0  
 022 A000003 WELLS FARGO ADVISORS, LLC  
 022 B000003 34-1542819  
 022 C000003 158364  
 022 D000003 84  
 022 A000004 J.P. MORGAN SECURITIES LLC  
 022 B000004 13-3299429  
 022 C000004 142815  
 022 D000004 3706  
 022 A000005 BNY MELLON CAPITAL MARKETS, LLC  
 022 B000005 23-2384977  
 022 C000005 134243  
 022 D000005 0  
 022 A000006 CITIGROUP GLOBAL MARKETS INC.  
 022 B000006 11-2418191  
 022 C000006 78254  
 022 D000006 4411  
 022 A000007 BNP PARIBAS PRIME BROKERAGE, INC.  
 022 B000007 94-2842977  
 022 C000007 57808  
 022 D000007 94  
 022 A000008 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
 022 B000008 13-5674085  
 022 C000008 3949  
 022 D000008 7967  
 022 A000009 BARCLAYS CAPITAL INC.  
 022 B000009 06-1031656  
 022 C000009 6661  
 022 D000009 5190  
 022 A000010 GOLDMAN, SACHS & CO.  
 022 B000010 13-5108880  
 PAGE 4  
 022 C000010 7213  
 022 D000010 2419  
 023 C000000 1307402  
 023 D000000 38351  
 024 000000 Y  
 025 A000001 WELLS FARGO ADVISORS, LLC  
 025 B000001 34-1542819  
 025 C000001 D  
 025 D000001 8893  
 025 A000002 WELLS FARGO ADVISORS, LLC  
 025 B000002 34-1542819  
 025 C000002 E  
 025 D000002 7767  
 025 A000003 DELETE  
 025 D000003 0  
 025 A000004 DELETE  
 025 D000004 0  
 025 A000005 DELETE  
 025 D000005 0  
 025 D000006 0  
 025 D000007 0  
 025 D000008 0  
 026 A000000 N  
 026 B000000 N  
 026 C000000 N  
 026 D000000 Y

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

|     |         |      |       |
|-----|---------|------|-------|
| 026 | E000000 | N    |       |
| 026 | F000000 | N    |       |
| 026 | G010000 | N    |       |
| 026 | G020000 | N    |       |
| 026 | H000000 | N    |       |
| 027 | 000000  | N    |       |
| 028 | A010000 |      | 0     |
| 028 | A020000 |      | 0     |
| 028 | A030000 |      | 0     |
| 028 | A040000 |      | 0     |
| 028 | B010000 |      | 0     |
| 028 | B020000 |      | 0     |
| 028 | B030000 |      | 0     |
| 028 | B040000 |      | 0     |
| 028 | C010000 |      | 0     |
| 028 | C020000 |      | 0     |
| 028 | C030000 |      | 0     |
| 028 | C040000 |      | 0     |
| 028 | D010000 |      | 0     |
| 028 | D020000 |      | 0     |
| 028 | D030000 |      | 0     |
| 028 | D040000 |      | 0     |
| 028 | E010000 |      | 0     |
| 028 | E020000 |      | 0     |
| 028 | E030000 |      | 0     |
|     | PAGE    | 5    |       |
| 028 | E040000 |      | 0     |
| 028 | F010000 |      | 0     |
| 028 | F020000 |      | 0     |
| 028 | F030000 |      | 0     |
| 028 | F040000 |      | 0     |
| 028 | G010000 |      | 0     |
| 028 | G020000 |      | 0     |
| 028 | G030000 |      | 0     |
| 028 | G040000 |      | 0     |
| 028 | H000000 |      | 0     |
| 030 | A000000 |      | 0     |
| 030 | B000000 | 0.00 |       |
| 030 | C000000 | 0.00 |       |
| 031 | A000000 |      | 0     |
| 031 | B000000 |      | 0     |
| 032 | 000000  |      | 0     |
| 033 | 000000  |      | 0     |
| 035 | 000000  |      | 0     |
| 036 | B000000 |      | 0     |
| 038 | 000000  |      | 0     |
| 042 | A000000 |      | 0     |
| 042 | B000000 |      | 0     |
| 042 | C000000 |      | 0     |
| 042 | D000000 |      | 0     |
| 042 | E000000 |      | 0     |
| 042 | F000000 |      | 0     |
| 042 | G000000 |      | 0     |
| 042 | H000000 |      | 0     |
| 043 | 000000  |      | 0     |
| 044 | 000000  |      | 0     |
| 045 | 000000  | Y    |       |
| 046 | 000000  | N    |       |
| 047 | 000000  | Y    |       |
| 048 | 000000  |      | 1.000 |
| 048 | A010000 |      | 0     |
| 048 | A020000 |      | 0.000 |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

|     |         |       |
|-----|---------|-------|
| 048 | B010000 | 0     |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0     |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0     |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0     |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0     |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0     |
|     | PAGE    | 6     |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0     |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
| 049 | 000000  | N     |
| 050 | 000000  | N     |
| 051 | 000000  | N     |
| 052 | 000000  | N     |
| 053 | A000000 | N     |
| 054 | A000000 | Y     |
| 054 | B000000 | Y     |
| 054 | C000000 | N     |
| 054 | D000000 | N     |
| 054 | E000000 | N     |
| 054 | F000000 | N     |
| 054 | G000000 | Y     |
| 054 | H000000 | Y     |
| 054 | I000000 | N     |
| 054 | J000000 | Y     |
| 054 | K000000 | N     |
| 054 | L000000 | N     |
| 054 | M000000 | Y     |
| 054 | N000000 | N     |
| 054 | O000000 | N     |
| 055 | A000000 | N     |
| 055 | B000000 | N     |
| 056 | 000000  | N     |
| 057 | 000000  | N     |
| 058 | A000000 | N     |
| 059 | 000000  | Y     |
| 060 | A000000 | Y     |
| 060 | B000000 | Y     |
| 061 | 000000  | 0     |
| 062 | A000000 | N     |
| 062 | B000000 | 0.0   |
| 062 | C000000 | 0.0   |
| 062 | D000000 | 0.0   |
| 062 | E000000 | 0.0   |
| 062 | F000000 | 0.0   |
| 062 | G000000 | 0.0   |
| 062 | H000000 | 0.0   |
| 062 | I000000 | 0.0   |
| 062 | J000000 | 0.0   |
| 062 | K000000 | 0.0   |
| 062 | L000000 | 0.0   |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0

PAGE 7

062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 N  
066 G000000 Y  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 Y  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 N  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 N  
070 P010000 N  
070 P020000 N  
070 Q010000 Y  
070 Q020000 N  
070 R010000 Y  
070 R020000 N

071 A000000 270839

PAGE 8

071 B000000 280632  
071 C000000 562704  
071 D000000 48  
072 A000000 6

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

|             |        |
|-------------|--------|
| 072 B000000 | 1392   |
| 072 C000000 | 7299   |
| 072 D000000 | 0      |
| 072 E000000 | 0      |
| 072 F000000 | 2829   |
| 072 G000000 | 0      |
| 072 H000000 | 0      |
| 072 I000000 | 12     |
| 072 J000000 | 65     |
| 072 K000000 | 0      |
| 072 L000000 | 36     |
| 072 M000000 | 16     |
| 072 N000000 | 0      |
| 072 O000000 | 0      |
| 072 P000000 | 0      |
| 072 Q000000 | 0      |
| 072 R000000 | 39     |
| 072 S000000 | 25     |
| 072 T000000 | 0      |
| 072 U000000 | 0      |
| 072 V000000 | 0      |
| 072 W000000 | 26     |
| 072 X000000 | 3048   |
| 072 Y000000 | 0      |
| 072 Z000000 | 5643   |
| 072AA000000 | 17843  |
| 072BB000000 | 0      |
| 072CC010000 | 41720  |
| 072CC020000 | 0      |
| 072DD010000 | 0      |
| 072DD020000 | 0      |
| 072EE000000 | 21059  |
| 073 A010000 | 0.0000 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.7600 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 0      |
| 074 B000000 | 0      |
| 074 C000000 | 8893   |
| 074 D000000 | 95846  |
| 074 E000000 | 69663  |
| 074 F000000 | 422242 |
| 074 G000000 | 0      |
| 074 H000000 | 0      |
| 074 I000000 | 76     |
| 074 J000000 | 11815  |
| 074 K000000 | 18     |
| PAGE 9      |        |
| 074 L000000 | 1286   |
| 074 M000000 | 15     |
| 074 N000000 | 609854 |
| 074 O000000 | 13090  |
| 074 P000000 | 495    |
| 074 Q000000 | 0      |
| 074 R010000 | 0      |
| 074 R020000 | 0      |
| 074 R030000 | 575    |
| 074 R040000 | 170    |
| 074 S000000 | 0      |
| 074 T000000 | 595524 |
| 074 U010000 | 27709  |
| 074 U020000 | 0      |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

|           |                    |              |
|-----------|--------------------|--------------|
| 074       | V010000            | 21.49        |
| 074       | V020000            | 0.00         |
| 074       | W000000            | 0.0000       |
| 074       | X000000            | 11           |
| 074       | Y000000            | 92321        |
| 075       | A000000            | 0            |
| 075       | B000000            | 568951       |
| 076       | 000000             | 19.28        |
| 077       | A000000            | Y            |
| 077       | C000000            | Y            |
| 078       | 000000             | N            |
| 086       | A010000            | 0            |
| 086       | A020000            | 0            |
| 086       | B010000            | 0            |
| 086       | B020000            | 0            |
| 086       | C010000            | 0            |
| 086       | C020000            | 0            |
| 086       | D010000            | 0            |
| 086       | D020000            | 0            |
| 086       | E010000            | 0            |
| 086       | E020000            | 0            |
| 086       | F010000            | 0            |
| 086       | F020000            | 0            |
| 087       | A010000            | COMMON STOCK |
| 087       | A020000            | 018829101    |
| 087       | A030000            | NIE          |
| 088       | A000000            | N            |
| 088       | B000000            | N            |
| 088       | C000000            | N            |
| 088       | D000000            | N            |
| SIGNATURE | LAWRENCE ALTADONNA |              |
| TITLE     | TREASURER          |              |